



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/693,735	10/24/2003	Robert H. Gerber	MS306693.1/MFTP545US	2403
27195 7590 06/21/2007 AMIN. TUROCY & CALVIN, LLP 24TH FLOOR, NATIONAL CITY CENTER 1900 EAST NINTH STREET CLEVELAND, OH 44114			EXAMINER CHOW, CHIH CHING	
			ART UNIT 2191	PAPER NUMBER
			MAIL DATE 06/21/2007	DELIVERY MODE PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	Application No. 10/693,735	Applicant(s) GERBER ET AL.	
	Examiner Chih-Ching Chow	Art Unit 2191	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 20 April 2007.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-6 and 8-23 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-6 and 8-23 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 24 October 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)<br>2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)<br>3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date <u>See Continuation Sheet</u> . | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____<br>5) <input type="checkbox"/> Notice of Informal Patent Application<br>6) <input type="checkbox"/> Other: _____. |
|--|---|

Continuation of Attachment(s) 3. Information Disclosure Statement(s) (PTO/SB/08), Paper No(s)/Mail Date :8/19/04, 3/25/05, 10/13/05, 3/24/06.

### **DETAILED ACTION**

1. This action is responsive to amendment dated April 20, 2007.
2. Per Applicants' request, claims 1, 14, 15, and 18 have been amended. Claim 7 is cancelled.
3. Claims 1-6, 8-23 remain pending.

### **Response to Amendment**

4. Applicants' amendment dated 4/20/2007, responding to the 01/22/2007 Office action provided in the objection of specification. The examiner has reviewed the updated specification paragraph [0074] respectfully. The objection to the specification is hereby withdrawn in view of Applicants' amendment to the specification.
5. Applicants' amendment dated 4/20/2007, responding to the 01/22/2007 Office action provided in the Double Patenting rejection of claim 18 over claim 20 of copending Application No. 10/692,885. The examiner has reviewed the amended respectfully. The Double Patenting rejection is hereby withdrawn in view of Applicants' amendment to the claim 18 of the current application.
6. Applicants' amendment dated 4/20/2007, responding to the 01/22/2007 Office action provided in the 35 USC § 112 (1) rejection to claim 7. The rejection to claim 7 is hereby withdrawn in view of Applicants' cancellation of claim 7.
7. Applicants' amendment dated 4/20/2007, responding to the 01/22/2007 Office action provided in the objection of claim 15. The examiner has reviewed the amended claim 15 respectfully. The objection to claim 15 is hereby withdrawn in view of Applicants' amendment to claim 15.

### **Response to Arguments**

8. Applicant's arguments with respect to claims 1-6, 8-23 have been considered but are moot in view of the new ground(s) of rejection necessitated by Applicant's amendments to the claims, therefore different rejection and citation has to be introduced. See 35 USC § 112, 102, and 103 rejections (claims include the amendments) herein below:

### **Claim Rejections - 35 USC § 112**

9. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

10. Claim 1 recites the limitation "a data store component for storing schematized data and end-user preferences", and "end-user specified preferences and store them in the data store". It's not clear to the Examiner are the 'end-user preferences' same as the 'end-user specified preferences'. Claim 1 recites 'an execution engine to retrieve preferences stored in the data store', does the preferences same as the 'end-user specified preferences'? Also, the preamble of claim 1 recites, "A preference evaluation system", but claim 1 does not mention any component/step to evaluate preferences.

11. Claims 2-13 depend on claim 1, they are rejected under 35 USC § 112 (2) for the same reason.

12. Claim 14 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Claim 14 recites "A method for application installation comprising: establishing a set of base tables; storing program actions, conditions, events and procedures as data in a data store; and updating the base tables with application data associated with an application being

Art Unit: 2191

installed by retrieving program text from the data store and executing the program.” – It’s not clear to the Examiner, what is the relationship between the base tables and the data store, the description in paragraph [0533] recites “base tables are set-up in the data store associated with the system or platform that will be executing the installed application” – Are the base tables set-up in the data store? And are the ‘program actions, conditions, events and procedures’ all stored in the base tables? Are these items used for application installation? Does the ‘executing the program’ mean to execute the installation program actions or execute the ‘updating the base tables’?

13. Claims 15-17 depend on claim 14, they are rejected under 35 USC § 112 (2) for the same reason.

### Claim Rejections - 35 USC § 102

14. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

15. Claims 1-5, 11-13, 18-20, and 23 are rejected under 35 U.S.C. 102(e) as being anticipated by US Patent No. 6,745,180 B2, by Yamanoue, hereinafter “Yamanoue”.

As Per claim 1, Yamanoue discloses:

- ***(Currently Amended) A preference evaluation system comprising:  
a data store component for storing schematized data and end-user preferences;***

Yamanoue's disclosure stores user preference data, see Yamanoue's column 1, Lines 8-15, "The present invention relates to a data supply controlling device, a data supplying method, **a storage medium (a data store) storing a data supplying program**, and a data supplying system, in which a system is used which searches for information (of books, for instance) using a data supplying device and provides search results to the user (user terminal) via the data supply controlling device in such a manner to **provide information suitable for user's preference and interest** by referring to user data". And see Yamanoue's column 29, lines 14-20, "Incidentally, Japanese Examined Patent Publication No. 2976219 discloses that user data is recorded, for instance, in a storage medium such as IC memory, and the **user data stored in the storage media** above is read by a terminal of the user when a search for commercial information is conducted, and then a host computer conducts an information search by picking up only required data from the user data."-- wherein the **storage medium** is as a the 'data store'; as to the schematized data, any "data, logic, events, inter alia, are all schematized", see the citation in the current application Abstract, and paragraph [0010], "**Schematization** is the structuring of data in well-known and well-defined patterns, which enables multiple applications to recognize and interact with each other."

- *a compiler to compile applications including end-user specified preferences and store them in the data store; and*

See Yamanoue's column 22, lines 5-8, "After the data **compiled** or read in S64 or S65 is sent to the user terminal 1 by the data supplying means 41", and column 22, lines 17-20, "if the user selects to see data in the user terminal 1 (*end-user specified preferences*) in the manner of the data browse

in S67, the data to be browsed is **compiled** (S68) and immediately transferred to the user terminal 1 (S69)”; it’s inherent that data has to be compiled by a compiler.

- *an execution engine to retrieve evaluate preferences stored in the data store upon the occurrence of one or more events and to utilize the preferences and at least one stored procedure to query tables within the data store and produce a results table, wherein the results table stores preferences whose conditions have been satisfied such that specified actions are triggered based on the stored preferences.*

See Yamanoue’s Fig. 13, and column 11, lines 28-30, “The user specifying data management means (user specifying data management means) 42 manages user specifying data which can specify each user. Also, the means 42 is used **when recording/reading the user specifying data to/from**, for instance, a removable **storage medium**, and the means 42 manages the user data in a condition that online access from outside is not available” (*retrieving the preferences from the data store*); and column 15, lines 42-56, “The query generator 35 of the search service center 3 **reads data of modification/updating of the queries** that are set by using the user terminal 1 and also data that registered in the **user data DB 32**, the **search rule DB 34**, and the **query DB 36** that are needed to **modify/update the queries** (S45), and the query generator 35 automatically **generates queries** matched with the user ID (S46)... Then the query management means 37 registers the **queries** generated in S46 in the **query DB 36** again. Meanwhile, the user data management means 33 registers data, for providing data matched with a user ID (data to generate the exclusive query and the filtering query that are



both described later), in the **user data DB 32**, as **search result filtering data 59 (S47)**", and Yamanoue's Abstract, "The data base of user data can be queried in accordance with the user data so that a data server performs a search according to the query and stores the search results in a **search result data base**."— search within the data store (**user data DB**) via query DB (**query tables**), the results are produced in a results table (**search result data base**), wherein the preferences are similar as 'search rules'. Further, see Yamanoue's column 28, lines 42-46, "the stored program may be arranged to be **executed by an access of a microprocessor** (not illustrated), or arranged so that **the program is executed** (*execution engine to evaluate the stored preferences*) by reading the stored program and then downloading the read program to a program storage of a delivery server and a receiving server.". Basically Yamanoue's disclosure as specified in his claim 38, "A **storage medium (data store)** for **storing a data supply program executed (execution engine)** by a computer to implement a method of supplying data, the method comprising the steps of: **storing**, in user data storage means, user data for each user who is to be supplied with information through a user terminal (*store data upon the occurrence of one or more events*); generating, based on at least the user data, a query for a data supplying device to search for the information; **storing, in search result storage means**, a result of a search conducted by the data supplying device for the information in accordance **with the query**".

As Per claim 2, Yamanoue discloses:

- ***The system of claim 1, further comprising an action component for***

***Taking one or more actions specified by a conditionally valid preference.***

For claim 1 feature see claim 1 rejection, conditionally valid preference is disclosed in Yamanoue's 'search rules', see Yamanoue's Fig. 1 and description, and example in column 8, lines 49 into column 9, "If the data server 2 is a server searching information of books as in the present embodiment, as the **search rule** described above, the search rule generator 24 sets a rule that enables the search service center 3 to generate queries, which are capable of searching information of books, on the basis of the user data.", couple 'IF' conditions are assessed (column 8, lines 56-62) from Data Server 2, further actions are taken at Search Service Center 3. The **query rules** specified in FIG. 3 can all considered as 'conditionally valid preference'.

As Per claim 3, Yamanoue discloses:

- ***The system of claim 2, the action component comprising a notification component that transforms and formats notification data generated by the execution engine based on a user preference for one or more user communication devices.***

For claim 2 feature see claim 2 rejection, Yamanoue's disclosure including a 'search result management' component, which would transform and form notification data generated by the execution engine based on a user preference on a user's communication device, see Yamanoue's FIG 1, and FIG. 16, and description in column 8, lines 12-21, "The search result management means 13 (a *notification component*) stores the search results being **transferred from the search service center 3 to the user terminal**

1” and “...The **display 15** offers a **GUI (Graphical User Interface)** for **various operations and displays search results**, and includes a PC monitor, for instance.”

As Per claim 4, Yamanoue discloses:

- ***The system of claim 1, wherein the communication devices include a mobile phone, a pager, a PDA, and a computer.***

For claim 1 feature see claim 1 rejection, Yamanoue’s teaching include a computer or a mobile phone, see Yamanoue’s column 7, lines 34-40, “The user terminal 1 is used by the user being provided data by the present system. What can be used as the terminal 1 are, for instance, **devices owned by the users and can be connected to the network 4** such as a PC (personal computer), a **mobile information terminal** and a **mobile phone**, and also a dedicated terminal capable of being used in the system.”

As Per claim 5, Yamanoue discloses:

- ***The system of claim 1, further comprising an event component to extract event data from an event source and store the data in the data store.***

For claim 1 feature see claim 1 rejection, each data storage or data query/retrieval in Yamanoue’s disclosure is considered as an event, see column 3, lines 38-59, “A data supply controlling device in accordance with the present invention, in order to accomplish the foregoing objective, is characterized in that it includes: **a user data storage section for storing user data** for each user who is to be supplied with information through a

user terminal” – the data supply controlling device serves as an event component, which can extract event data from the input source and store the data in the data store.

As Per claim 11, Yamanoue discloses:

- ***The system of claim 1, the execution engine evaluates preferences by executing queries on data stored in the data store.***

For claim 1 feature see claim 1 rejection, for rest of claim 11 feature see Yamanoue's Abstract, "A data supply controlling device comprises a **data base for user data which stores user data** matched with each user. The **data base of user data can be queried** (*executing queries on data stored in the data store*) in accordance with the user data so that a data server performs a **search according to the query and stores the search** results in a search result data base." and column 4, lines 46-55, "storing, in a user data storage section, user data for each user who is to be supplied with information through a user terminal; generating, based on at least the user data, a **query to search** data supplied from a data supplying device; **searching for information in accordance with the query;** (*executing queries*) storing a search result in a search result storage section".

As Per claim 12, Yamanoue discloses:

- ***The system of claim 1, wherein end-user preferences are based on a developer specified schema.***

For claim 1 feature see claim 1 rejection, for rest of claim 12 feature see Yamanoue's FIG 2 and description in column 12, lines 25-28, "The **user**

**defined data 61** is data that the **user can designate** in relation to a data search, for each of the following items such as: an each user's **search rule to generate queries: an alteration of the generated search rule**; and frequency to conduct the search.” – the user specified schema.

As Per claim 13, Yamanoue discloses:

- ***The system of claim 12, wherein information regarding end-user preferences and the developer schema are stored in one or more tables in the data store.***

For claim 12 feature see claim 12 rejection, for rest of claim 13 feature see Yamanoue's column 19, lines 9-11, "Then the query management means 37 stores the filtering query matched with each user ID, which is **stored in the user data DB 32, in a user ID table**". – preference data stored in one or more tables in the data store.

As Per claim 18, Yamanoue discloses:

- ***(Currently Amended) A method for employing preferences comprising: specifying user preferences based on a developer schema; storing the preferences and schematized data in one or more tables in a data store;***

See claim 1 rejection, wherein the search rule can be developer schema. ***querying the tables in the data store upon occurrence of an event and retrieving preferences stored in the data store; producing a result table, wherein the results table stores preferences whose conditions have been satisfied such that specified actions are***

***triggered; and***

***executing actions based on the data in the result table.***

See Yamanoue's column 19, lines 9-19, "the **query management means 37** stores the filtering query matched with each user ID, which is stored in the user data DB 32, in a **user ID table** (not illustrated) included in the **query management means 37** (S54). The filtering **query above is a query to acquire search results matched with a user ID from the search results** made by the merged **query** which is merged in S53. That is to say, the filtering query can exclude search results matched with the dummy query from the search result of the merged query. So, the query management means 37 generates schedule data to conduct a data search by the queries registered in the query DB 36, from the search result of the merged query" – wherein each user's data or query is considered as an event. And further lines 30-35, "The **query management means 37** registers queries which are not merged in S53 in the query DB 36, and also modifies/deletes queries registered in the query DB 36, when the query management means 37 determines that the queries are not used by a user possessing another user ID, by using a **query management table** (not illustrated) in the query management means 37 (S56)." – queries are executed and the query results can be stored in user ID table or query management table (*result table*).

As Per claim 19, Yamanoue discloses:

- ***The method of claim 18, wherein user preferences are specified by utilizing a one-at-a-time declarative programming model.***

For claim 18 feature see claim 18 rejection, for rest of claim 19 feature see Yamanoue's column 19, lines 21-23, "data about desirable time or duration of an update schedule (conduct of the search) **designated by the user in the user defined data 61**"; – the specified desirable time or duration of an update schedule designated by the user allows developers to specify one event against one preference, which is considered as using an 'one-at-a time' declarative model.

As Per claim 20, Yamanoue discloses:

- ***The method of claim 19, wherein user preferences are specified using one or more On-event-If-Then statements and Boolean operators to specify conditions and actions.***

For claim 19 feature see claim 19 rejection, for the 'on-event-If-Then' feature see claim 2 (conditional statement) rejection.

As Per claim 21, Yamanoue discloses:

- ***The method of claim 20, wherein querying the tables comprises executing query language statements.***

For claim 20 feature see claim 20 rejection, for rest of claim 21 feature see Yamanoue's column 10, lines 48-50, "The search means 38: keeps track of the data server 2 capable of doing a data search by using queries generated in accordance with a specified search rule; provides **queries specified by the query management means 37** for the data server 2; and **gives instructions to the data server 2 to search data in accordance with the queries.**" -- the queries specified by the query management means are inherently to be 'query language statements'.

As Per claim 23, Yamanoue discloses:

- ***A computer readable medium having instructions stored thereon computer executable instructions for executing the method of claim 19.***

For claim 19 feature see claim 19 rejection, for rest of claim 23 feature see Yamanoue's column 28, lines 34-36, "the operations described in the embodiments above can be realized by a computer program. The **program is stored in a computer-readable storage medium**. In the present invention, this storage medium may be a memory (not illustrated), for instance ROM itself, that is required when the search service center 3 operates, or may be a program storage medium that is readable by inserting into a program reader provided as an external storage device (not illustrated)."

16. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

17. Claims 14-17 are rejected under 35 U.S.C. 102(a) as being anticipated by US Patent No. 6606618, by Delo, hereinafter "Delo".

As Per claim 14, Delo discloses:

- (Currently Amended) ***A method for application installation comprising: establishing a set of base tables; and storing program actions, conditions, events and procedures as data in a data store; and***



***updating the base tables with application data associated with an application being installed by retrieving program text from the data store and executing the program.***

Delo teaches a method for application installation, see Delo's column 2, lines 49-51, "The present invention fulfills the needs in the art by providing optimizations for the process involved in **the installation of a software product onto a target computer system**", and column 6, lines 56-58, "the phrase 'software product' is meant to refer to **an application program module** (*application*) or a suite of application program modules." Further, in column 10, lines 7-10, "The present invention further extends the functionality of an **installation database system** by providing methods for efficiently managing and manipulating **installation database tables**." And updating the base tables with application data, see Delo's column 7, lines 15-28, the data elements stored in the database tables in FIG. 2A. And Delo's claim 16, "The computer program product of claim 15, the method further comprising: accepting a database query containing a queried data element; searching the index to determine the integer identifier corresponding to the queried data element; in response to determining the integer identifier corresponding to the queried data element, searching the database table to **locate a record** (*retrieving program text*) containing the integer identifier corresponding to the queried data element; and **processing the query**. (*executing the program*)" – Delo's disclosure teaches a method for application installation, it stores the application unique data element in a database table, and updates the associated data (see claim 15), and retrieve the data element for the program, and execute the program.

As Per claim 15, Delo discloses:

- ***The method of claim 14, wherein the application is employs user defined preferences.***

For claim 14 feature see claim 14 rejection, for rest of claim 15 feature see Delo's Fig. 2A and description in column 7, lines 15-28,, "As shown in FIG. 2A, **Feature\_Table 205 stores a list of features**, which are identified by **Feature\_ID 207**. Each record in the **Feature\_Table 205** also includes a **Feature\_Name 209**, an **Attribute\_F\_A 211**, an **Attribute\_F\_B 212** and a **Feature\_Preference 213**. The **Component\_Table 215** is constructed in the manner similar to the **Feature\_Table 205**, but stores records for components instead of features. Each record in the **Component\_Table 205** includes a **Component\_Name 219**, an **Attribute\_C\_A 221**, an **Attribute\_C\_B 223** and a **Component\_Preference 223**. The **Feature\_Comp\_Table 225** associates **Feature\_IDs 207** with **Component\_IDs 217**. As can be seen, the data elements **stored** in the database tables of FIG. 2A comprise string data, each string having a variable length, and integer data." – application uses user defined preferences.

As Per claim 16, Delo discloses:

- ***The method of claim 14, wherein application data includes application procedures that are stored as data.***

For claim 14 feature see claim 14 rejection, Delo specifically mentions that "the phrase 'software product' is meant to refer to **an application program**

**module (application)** or a suite of **application program modules**.” – they are stored as data.

As Per claim 17, Delo discloses:

- ***A computer readable medium having instructions stored thereon for carrying out the method of claim 14.***

For claim 14 feature see claim 14 rejection, for rest of claim 17 see Delo’s column 5, lines 38-40, The **software product** and installation database are generally provided via a **computer-readable medium**, such as a CD-ROM disk.” Further in lines 64 to column 6, line 10, “the **installation program module 137 may reside on the hard disk drive 127 of the target computer system** and may operate in conjunction with the operating system. ... In addition, the **installation program module may be provided along with the software product 136 and the installation database via a computer-readable medium**, such as CD-ROM, or the like.” – where the installation program, which includes instructions for carrying out the method of claim 14, is stored in a computer readable medium.

### **Claim Rejections - 35 USC § 103**

18. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Art Unit: 2191

19. Claims 6, 8, 9, 10, 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent No. 6,745,180 B2, by Yamanoue, hereinafter "Yamanoue", in view of U.S. 2003/0126136 A1 by Omoigui, hereinafter "Omoigui".

As Per claim 6, Yamanoue discloses:

- *The system of claim 5, wherein the event source is a subscription service.*

For claim 5 feature see claim 5 rejection, Yamanoue teaches all aspects of claim 6, but he does not disclose 'event source is a subscription service' explicitly, however, Omoigui teaches this feature in an analogous prior art; see Omoigui's paragraph [0254], "Network News Transfer Protocol (NNTP). ... NNTP is designed so that news articles are stored in a central database **allowing subscribers to select only those items they wish to read.**" And [0267], "this refers to all the data stored on users' local machines, in addition to user-specific data on an Agency server (e.g., **subscribed** server-side Agencies, server-side Favorite Agents, etc.)." and [0801], "allows users to browse, **subscribe**, and unsubscribe to or from Agents on a given Agency that supports User State."

It would have been obvious to a person of ordinary skill in the art at the time of the invention was made to supplement Yamanoue's disclosure of the method of storing preference data in data store, and extract preference event from event source, by the event source is a subscription service taught by Omoigui. The modification would be obvious because one of ordinary skill in the art would be motivated by delivering the user preference event data

only to the interested parties, i.e. subscribed users/agents. (See Omoigui's paragraph [0267]).

As Per claim 8, Yamanoue discloses:

- *The system of claim 1, further comprising a context analyzer to produce context data indicative of an end-users context at a given time and store the context data in the data store.*

For claim 1 feature see claim 1 rejection, Yamanoue teaches all aspects of claim 8, but he does not disclose 'context analyzer at a given time' explicitly, however, Omoigui teaches this feature in an analogous prior art; see Omoigui's Abstract, "The system includes a first server component that is responsible for adding and maintaining domain-specific semantic information and a second server component that hosts semantic and other knowledge for use by the first server component that work together to **provide context and time-sensitive semantic information retrieval services to clients** operating a presentation platform via a communication medium."; see Omoigui's paragraph [0009], "Regardless of the search technique, the underlying organization of searchable information is **index-driven rather than context-driven**. The frequency or type of textual information associated the document determines the search results, as opposed to the attributes of the subject matter of the document and how those attributes **relate to the user's context**. (*end-users context*)". Also see Omoigui's paragraph [0255], "The notification source (the client or server) **stores information for the user** and the Agent indicating the last time

(stores data at a given time) the user acknowledged a notification for the Agent”.

It would have been obvious to a person of ordinary skill in the art at the time of the invention was made to supplement Yamanoue’s disclosure of the method of storing preference data in data store, and extract preference event from event source and store the data in data store, by the turning the available data into an end-users context, i.e. usable knowledge, taught by Omoigui. The modification would be obvious because one of ordinary skill in the art would be motivated by turning the data into meaningful context and efficient access for the users. (See Omoigui’s paragraph [0006]).

As Per claim 9, Yamanoue discloses:

- *The system of claim 1, further comprising one or more APIs to interact with applications.*

For claim 1 feature see claim 1 rejection, Yamanoue teaches all aspects of claim 9, but he does not disclose ‘one or more APIs to interact with applications’ explicitly, however, Omoigui teaches this feature in an analogous prior art; see Omoigui’s paragraph [0206], “**Application Programming Interface (API)**. Defines how software programmers utilize a particular computer feature. **APIs** exist for windowing systems, file systems, database systems, networking systems, and other systems.”

It would have been obvious to a person of ordinary skill in the art at the time of the invention was made to supplement Yamanoue’s disclosure of the method of storing preference data in data store, and extract preference event from event source and store the data in data store by using APIs to interact

with applications taught by Omoigui. The modification would be obvious because one of ordinary skill in the art would be motivated by using APIs to capture input information, such as command parameters (See Omoigui's paragraph [0586]).

As Per claim 10, Yamanoue discloses:

- ***The system of claim 1, wherein the compiler can compile and the execution engine can execute both heavyweight applications and lightweight preference applications.***

For claim 1 feature see claim 1 rejection, Yamanoue teaches all aspects of claim 10, but he does not disclose 'execute both heavyweight applications and lightweight preference applications' explicitly, however, Omoigui teaches this feature in an analogous prior art; according to the description of the current application, paragraph [0011], "Heavyweight applications include those that are often run on high-end servers and require high-throughput and scalability, among other things. Lightweight applications are those that are often executed on smaller systems such as personal computers and require low-latency, a small database footprint, and small working set." Omoigui teaches the knowledge retrieval system runs on both the high-end servers and the 'smaller systems', see Omoigui's FIGURE 7, and paragraph [0008], "Information access further improved with the advent of the **Internet, which connects a large number of computers across diverse geography to provide access to a vast body of information (heavyweight applications).** The most wide spread method of providing information over the Internet is via the World Wide Web. The Web consists of a subset of the computers or Web servers connected to the Internet that typically run

Hypertext Transfer Protocol (HTTP), File Transfer Protocol (FTP), GOPHER or other servers.” And Omoigui’s paragraph [0244], “**Lightweight Directory Access Protocol (LDAP)**. Technology for accessing common directory information. LDAP has been embraced and implemented in most network-oriented middleware. As an open, vendor-neutral standard, LDAP provides an extendable architecture for **centralized storage and management of information** (*smaller systems such as personal computers and require low-latency, and small working set*) that needs to be available for today’s distributed systems and services. LDAP is currently supported in most network operating systems, groupware and even shrink-wrapped network applications.”

It would have been obvious to a person of ordinary skill in the art at the time of the invention was made to supplement Yamanoue’s disclosure of the method of extracting event from event source and storing preference data in data store by using both heavyweight and lightweight applications taught by Omoigui. The modification would be obvious because one of ordinary skill in the art would be motivated by presenting data to both low-end and high-end servers (See Omoigui’s paragraph [0022]).

As Per claim 22, Yamanoue discloses:

- ***The method of claim 19, wherein the developer schema is an XML schema.***

For claim 19 feature see claim 19 rejection, Yamanoue teaches all aspects of claim 22, but he does not disclose ‘XML schema’ explicitly, however, Omoigui teaches this feature in an analogous prior art, see Omoigui’s



paragraph [0008], “The most wide spread method of providing information over the Internet is via the World Wide Web. The Web consists of a subset of the computers or Web servers connected to the Internet that typically run Hypertext Transfer Protocol (HTTP), File Transfer Protocol (FTP), GOPHER or other servers. Web servers host Web pages at Web sites. Web pages are encoded using one or more languages, such as the original Hypertext Markup Language (HTML) or the more current **extensible Markup Language (XML)** or the Standard Generic Markup Language (SGML).”

It would have been obvious to a person of ordinary skill in the art at the time of the invention was made to supplement Yamanoue’s disclosure of the method of storing preference data in data store, and extract preference event from event source, by the using XML taught by Omoigui. The modification would be obvious because one of ordinary skill in the art would be motivated by encapsulates structured, semantic queries in a flexible way (See Omoigui’s paragraph [0202]).

### **Conclusion**

20. The prior art made of record and not relied upon is considered pertinent to applicant’s disclosure.

**Bennett**, US 2002/0135614, discloses a method of presenting catered information to a user. An item to be output to the user is identified and sub-items are retrieved from at least one storage. The system builds output from the sub-items and presents the output to the user.

21. The following summarizes the status of the claims:

35 USC § 112 (2) rejection: Claims 1-17

35 USC § 102 rejection: Claims 1-5, 11-21, 23

35 USC § 103 rejection: Claims 6, 8, 9, 10, 22

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chih-Ching Chow whose telephone number is 571-272-3693. The examiner can normally be reached on 8:30am - 5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wei Zhen can be reached on 571-272-3708. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300. Any inquiry of a general nature of relating to the status of this application should be directed to the TC2100 Group receptionist: 571-272-2100.

Art Unit: 2191

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Chih-Ching Chow

Examiner

Art Unit 2191

June 12, 2007

*Mary Stetson*  
*Primary Examiner*

CC